Atty Dkt No. 3100-0003

**PATENT** 



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Jenny LOUIE-HELM et al.

Confirmation No.: 1055

Serial No.: 10/014,750

Group Art Unit: 1618

Filing Date: October 25, 2001

Examiner: Blessing M. Fubara

Title: FORMATION OF AN ERODIBLE, GASTRIC RETENTIVE ORAL DOSAGE FORM

USING IN VITRO DISINTEGRATION TEST DATA

## Information Disclosure Statement

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. Applicants respectfully request that the Examiner review and make of record the references identified below.

A PTO-1449 form listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. The references are as follows:

U.S. PATENT DOCUMENTS							
Document No.	Issue Date or Publication Date	Name of Patentee or Applicant					
4,910,021	3/20/90	Davis et al.					
5,830,576	11/3/98	Mehra et al.					
6,068,859	5/30/00	Curatolo et al.					
6,562,375	5/13/03	Sako et al.					
2003/0133985 A1	7/17/03	Louie-Helm et al.					
2003/0152622 A1	8/14/03	Louie-Helm et al.					
2004/0156899 A1	8/12/04	Louie-Helm et al.					
2004/0185105 A1	9/23/04	Berner et al.					

NONPATENT DOCUMENTS

BLOEM et al., "A controlled trial of a slow-release form of furosemide in hypertension," Curr. Ther. Res. (1981) 29:577-583.

Ther. Res. (1981) 29:577-583.

BOLES PONTO et al., "Furosemide (frusemide): a pharmacokinetic/pharmacodynamic review, (Parts I and II), Clin. Pharmacokinetics (1990) 18:381-471.

## NONPATENT DOCUMENTS

CONTE et al., "Modulation of the dissolution profiles from Geomatrix<sup>®</sup> multi-layer tablets containing drugs of different solubility," Biomater. (1996) 17:889-896.

GABR et al., "Formulation and evaluation of buffered floating furosemide delivery systems," S.T.P. Pharma Sci. (2000) 10:181-186.

GUSLER, et al., "Pharmacokinetics of metformin gastric-retentive tablets in healthy volunteers," J. Clin. Pharmacol. (2001) 41:655-661.

HUBER et al., "Utilization of hydrophilic gums for the control of drug release from tablet formulations. I. Disintegration and dissolution behavior," J. Pharmac. Sci. (1966) 55:974-976.

IANNUCCELLI et al., "PVP solid dispersions for the controlled release of furosemide from a floating multiple-dose unit system," Drug Dev. Indus. Pharm. (2000) 26:595-603.

KANIWA et al., "The bioavailability of flufenamic acid and its dissolution rate from capsules," Intl. J. Clin. Pharmacol., Ther. Toxicol. (1983) 21:56-63.

KORSMEYER et al., "Mechanisms of solute release from porous hydrophilic polymers," Intl. J. Pharmac. (1983) 15:25-35.

MENON et al., "Development and evaluation of a monolithic floating dosage form for furosemide," J. Pharmac. Sci. (1994) 83:239-245.

UCHINO et al., "Clinical pharmacokinetics and diuretic effect of furosemide in plain tablet and retard capsule with normal subjects and cirrhotic patients," J. Pharm. Dyn. (1983) 6:684-691.

WAKELKAMP et al., "The influence of frusemide formulation on diuretic effect and efficiency," J. Clin. Pharmacol. (1999) 48:361-366.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any of the above references constitutes prior art to the present application within the meaning of 35 USC § 102.

Atty Dkt No. 3100-0003 Serial No. 10/014,750

This Information Disclosure Statement is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, whichever occurs first. As such, enclosed is a check for \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p) for submission of an Information Disclosure Statement under 37 CFR § 1.97(c).

Respectfully submitted,

By:

Leigh H. Firestone

Registration No. 36,831

REED INTELLECTUAL PROPERTY LAW GROUP

1400 Page Mill Road Palo Alto, California 94304 (650) 251-7700 Telephone

(650) 251-7739 Facsimile

F:\Document\3100\0003\2nd Supp IDS.DOC

	OIPE
	Substitute for form 1449A/PTO JAN 0 3 2006
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT
1	(use as many sheets as necessary)

Sheet

of

Complete if Known					
Application Number	10/014,750				
Filing Date	October 25, 2001				
First Named Inventor	Jenny LOUIE-HELM				
Art Unit	1618				
Examiner Name	Blessing M. Fubara				
Attorney Docket Number	3100-0003				

	U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No.	Document No.	Issue Date or Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate			
**************************************	EE	4,910,021	3/20/90	Davis et al.						
	EF	5,830,576	11/3/98	Mehra et al.						
	EG	6,068,859	5/30/00	Curatolo et al.						
	ЕН	6,562,375	5/13/03	Sako et al.						
	EI	2003/0133985 A1	7/17/03	Louie-Helm et al.						
	EJ	2003/0152622 A1	8/14/03	Louie-Helm et al.						
	EK	2004/0156899 A1	8/12/04	Louie-Helm et al.						
	EL	2004/0185105 A1	9/23/04	Berner et al.						

	FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No.	Foreign Patent Document No.	Country	Class	Subclass	7					
						<del> </del>	-				
						<del> </del>	┝				
						T					

		OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	EM	BLOEM et al., "A controlled trial of a slow-release form of furosemide in hypertension," Curr. Ther. Res. (1981) 29:577-583.	
	EN	BOLES PONTO et al., "Furosemide (frusemide): a pharmacokinetic/pharmacodynamic review," (Parts I and II), Clin. Pharmacokinetics (1990) 18:381-471.	
	EO	CONTE et al., "Modulation of the dissolution profiles from Geomatrix® multi-layer tablets containing drugs of different solubility," Biomater. (1996) 17:889-896.	
	EP	GABR et al., "Formulation and evaluation of buffered floating furosemide delivery systems," S.T.P. Pharma Sci. (2000) 10:181-186.	
	EQ	GUSLER, et al., "Pharmacokinetics of metformin gastric-retentive tablets in healthy volunteers," J. Clin. Pharmacol. (2001) 41:655-661.	
	ER	HUBER et al., "Utilization of hydrophilic gums for the control of drug release from tablet formulations. I. Disintegration and dissolution behavior," J. Pharmac. Sci. (1966) 55:974-976.	
	ES	IANNUCCELLI et al., "PVP solid dispersions for the controlled release of furosemide from a floating multiple-dose unit system," Drug Dev. Indus. Pharm. (2000) 26:595-603.	
	ET	KANIWA et al., "The bioavailability of flufenamic acid and its dissolution rate from capsules," Intl. J. Clin. Pharmacol., Ther. Toxicol. (1983) 21:56-63.	
	EU	KORSMEYER et al., "Mechanisms of solute release from porous hydrophilic polymers," Intl. J. Pharmac. (1983) 15:25-35.	
	EV	MENON et al., "Development and evaluation of a monolithic floating dosage form for furosemide," J. Pharmac. Sci. (1994) 83:239-245.	
	EW	UCHINO et al., "Clinical pharmacokinetics and diuretic effect of furosemide in plain tablet and retard capsule with normal subjects and cirrhotic patients," J. Pharm. Dyn. (1983) 6:684-691.	
	EX	WAKELKAMP et al., "The influence of frusemide formulation on diuretic effect and efficiency," J. Clin. Pharmacol. (1999) 48:361-366.	

Examiner	Date
Signature	Considered
- 0 1.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## FEE TRANSMITTAL Complete if Known **Application Number** 10/014,750 October 25, 2001 for FY 2005 Effective 10/01/03. Patent fees are subject to annual revision. Filing Date Jenny Louie-Helm First Named Inventor **Examiner Name** Blessing M. Fubara Applicant claims small entity status. See 37 CFR 1.27 1618 Group Art Unit 3100-0003 Attorney Docket No.

METHOD OF PAYMENT (check all that apply)	oly) FEE CALCULATION (continued)					
	3. ADDITIONAL FEES					
Check Credit card Money Order Other None	Large	Entity		Entity		
Deposit Account:	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
	Code	(\$)	Code 2051	(\$)	Sureborgo lato filing foo or ooth	
Deposit Account No. 18-0580 Deposit Account Name Reed IP Law Group	1051 1052	130 50	2051	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing	
The Commissioner is authorized to: (check all that apply)	1052	30	2052	23	fee or cover sheet	
Charge fee(s) indicated below Charge any underpayment or credit	1053	130	1053	130	Non-English specification	
any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte	
l —		•			reexamination	
Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior	1
Charge fee(s) indicated below, except for the filing fee to the				4 0 40+	to Examiner action	
above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
EEE OAL OUR ATION	1251	120	2251	60	Extension for reply within first	
FEE CALCULATION	- '23'	120	2201	00	month	
	1252	450	2252	225	Extension for reply within second	
1. BASIC FILING, SEARCH AND EXAMINATION FEES					month	
	1253	1,020	2253	510	Extension for reply within third	
Large Entity Small Entity			2054	705	month	
Fee Fee Fee Fee Fee Description Fee Paid	1254	1,590	2254	795	Extension for reply within fourth month	]
Code (\$)   Code (\$)	1255	2,160	2255	1,080	Extension for reply within fifth	
on or before 12/8/04)	1200	2,100		1,000	month	
1011 300 2011 150 Utility filing fee (filed	1401	500	2401	250	Notice of Appeal	
after 12/8/04)	1402	500	2402	250	Filing a brief in support of an	
1111 500 2111 250 Search Fee					appeal	
1311 200 2311 100 Examination Fee	1403	1,000	2403	500	Request for oral hearing	<del></del>
1081 250 2081 125 For each additional	1451	1,510	1451	1,510	Petition to institute a public use proceeding	]
50 sheets exceeding	1452	500	2452	250	Petition to revive – unavoidable	$\vdash$
	1453	1,500	2453	750	Petition to revive – unintentional	
SUBTOTAL (1) \$	1501	1,400	2501	700	Utility issue fee (or reissue)	
ODDIOTAE(I)	1502	800	2502	400	Design issue fee	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1503	1,100	2503	550	Plant issue fee	
2. EXTRA CEATION CHEFT AND REGOOD	1807	50	1807	50	Processing fee under 37 CFR	
Extra Fee from Fee Paid	1			400	1.17(q)	\$180.00
Claims below	1806	180	1806	180	Submission of Information Disclosure Stmt	\$180.00
Total Claims '53 - 52** = 1 x \$25 = \$25	8021	40	8021	40	Recording each patent assignment	
Independent 4 - 4** = 0 x =	0021	70	0021	70	per property (times number of	
Claims					properties)	
Multiple Dependent =	1809	790	2809	395	Filing a submission after final	1
Large Entity   Small Entity	I				rejection (37 CFR § 1.129(a))	<b> </b>
Fee Fee Fee Fee Description	1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Code (\$) Code (\$)	1801	790	2801	395	Request for Continued Examination	<del></del>
1202 50 2202 25 Claim in excess of 20	1 1001	, 50	2001	030	(RCE)	
1201 200 2201 100 Independent claims in excess of 3	1802	900	1802	900	Request for expedited examination	
1203 360 2203 180 Multiple dependent claim, if not paid 1204 200 2204 100 ** Reissue independent claims over					of a design application	
original patent	1814	130	2814	65.00	Statutory Disclaimer	
1205 50 2205 25 ** Reissue claims in excess of 20		e (specify		- D-14	OUDTOTAL (A)	£400.00
and over original patent	Reduc	ed by Basi	c Filing Fe	e Paid	SUBTOTAL (3)	\$180.00
SUBTOTAL (2) \$25						
**or number previously paid, if greater, For Reissues, see above	1					

SUBMITTED BY					Complete (if applicable)
Name (Print/Type)	Leigh H. Firestone	Registration No. (Attorney/Agent)	36,831	Telephone	(650) 251-7700
Signature	Jugh H. Directo	re_		Date	December 27, 2005